**Application for Modification Approval**

**Complete this form for all revisions/modifications.**

**Principal Investigator Name:**

**Project Title:**

**Protocol Number:**

**DESCRIPTION OF MODIFICATION**

**A. Changes to Study Personnel/Researchers:**

Are you changing the Principal Investigator or the Faculty Advisor?

[ ]  YES [ ]  NO

Please provide a brief rationale.

**If you are changing faculty advisor or changing a student Principal Investigator to another student Principal investigator, a revised Faculty Advisor Assurances Addendum must be submitted.**

Are you making any other changes to the personnel working on your study?

[ ]  YES [ ]  NO

If yes, please indicate the name, role, responsibilities, and status (faculty, graduate, undergraduate, staff) of the individual(s) you are adding to your study or removing from your study. For each individual you are adding, indicate whether they have completed CITI training or not?

**B. Participant Sample Changes**

Are you making any changes to the participant sample from which you plan to collect your data?

[ ]  YES [ ]  NO

If yes, please describe the change.

Does this change pose any increased risk to the participants?

Does this change involve adding participant samples that would include individuals under the age of 18? [ ]  YES [ ]  NO

Explain.

If yes, please be aware that parental consent is required for most studies involving individuals under the age of 18.

Does this change involve adding an international sample? [ ]  YES [ ]  NO

Explain.

If yes, please be aware that you will need to submit an International Research Addendum. You will need to provide the name and contact information for a cultural consultant, as well as translations and back translations of all materials (if appropriate).

**C. Procedures:**

Are you making any changes to the study's procedures including changes to your questionnaire or interview protocol?[ ]  YES [ ]  NO

If yes, describe in detail the changes you plan to make.

State the rationale for the changes.

Do you anticipate that these changes will alter the risks to the participants? [ ]  YES [ ]  NO

If yes, how so?

Will these changes lead to the collection and/or storage of identified data?

[ ]  YES [ ]  NO

If yes, answer the following:

1. What is the justification for needing identifiers in order to conduct the research?
2. What is the sensitivity of the data being collected?
3. What is the likely retention period for identifiable data?
4. What security controls do you have in place for the identifiable data (i.e., physical safeguards for paper records or recordings, technical safeguards for electronic records, Secure sharing or transfer of data outside the institution, if applicable)?
5. What is the potential risk for harm that would occur if the security of the data was compromised?

Do you plan to change your questionnaire or interview protocol?

[ ]  YES [ ]  NO

**If you are making changes to your questionnaire or interview protocol, attach copies of the original and revised versions.**

**D. Informed Consent**

Will your planned changes require revision of your informed consent form? [ ]  YES [ ]  NO

If yes, please describe the change and attached both the original consent form and a revised version of the consent form.

**E. Health Information and Biospecimens**

**Do your changes involve the collection of health information or biospecimens?**

 **If yes, do you plan to obtain Broad Consent?**

 **If yes, have you satisfied HIPAA requirements?**

**F. Other Changes**

Do you plan any other changes to your study?